



Attorney Docket No. 10251-037

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent Application of : Feilbogen, et al.  
U.S. Serial No. : 09/848,931  
Filing Date : May 4, 2001  
Title of the Invention : METHOD AND SYSTEM FOR INITIATING  
AND CLEARING TRADES  
Examiner Name : Nga B. Nguyen  
Art Unit : 3628

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith a PTO/SB/08A form and a list of the reference thereon in order to comply with the Applicant's duty of disclosure.

Applicants respectfully request that the document listed be considered by the Examiner and be made of record in the above-identified application. Copy of the reference is being submitted herewith.

As this Information Disclosure Statement is being submitted after the issuance of a first Office Action, the Commissioner is authorized to deduct the fee set forth in CFR 1.17(p) and any other fees due in connection with this submission from Deposit Account No. 16-2500.

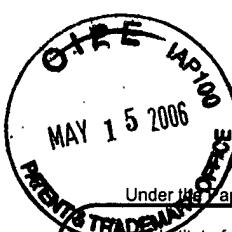
Respectfully submitted,  
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12 May 2006

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Enclosures: PTO/SB/08A Form & cited reference



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Substitute for form 1449/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

Sheet 1 of 1

**Complete if Known**

Application Number	09/848,931
Filing Date	May 4, 2001
First Named Inventor	Feilbogen, et al.
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Examiner Name	Nga B. Nguyen
Attorney Docket Number	10251-037

**U. S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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